

**Therapeutic lag in reducing disability progression in relapsing-remitting multiple sclerosis: 8-year follow-up of two randomized add-on trials with atorvastatin**

**HIGHLIGHTS**

- Exposure to atorvastatin was associated with milder disease progression after 8 years
- There is a therapeutic lag in the effect of statins on disability progression
- Clinical trials should be extended in the long-term to evaluate any delayed or latent effect of the intervention

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# Therapeutic lag in reducing disability progression in relapsing- remitting multiple sclerosis: 8-year follow-up of two randomized add-on trials with atorvastatin

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**Key words:** multiple sclerosis; statin; treatment; clinical trial; extension.

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# Therapeutic lag in reducing disability progression in relapsing-remitting multiple sclerosis: 8-year follow-up of two randomized add-on trials with atorvastatin

## Abstract

**Background.** Current treatments for relapsing remitting multiple sclerosis (RRMS) reduce inflammation, but have a partial or modest effect on disability. This effect may require a much longer follow-up than standard trial design, in particular in RRMS with relatively-preserved functional reserve. We aimed to assess the long-term clinical evolution of RRMS patients exposed to atorvastatin in two trials (ACTIVE and ARIANNA).

**Methods.** We retrospectively looked at 69 participants randomized with atorvastatin or placebo as add-on therapy to interferon-beta for 24 months at a single MS centre. We recorded relapses, 1-point EDSS progression and progression to EDSS 4.0. Cox regression was performed for these three questions. A Poisson regression model was used to evaluate the association between atorvastatin treatment and annualized relapse rate (ARR).

**Results.** After  $8.4 \pm 2.3$  (3.7-11.9) years from trial, the use of atorvastatin was associated with reduced risk of 1-point EDSS progression (HR=0.440; 95%CI=0.225-0.861;  $p=0.017$ ), and of EDSS 4.0 (HR=0.310; 95%CI=0.123-0.784;  $p=0.013$ ). We found no significant association between atorvastatin and relapses.

**Discussion.** These data suggest that a delayed treatment effect maybe seen with atorvastatin added to interferon-beta, eight years after entering the clinical trials. Long-term follow-up of trial cohorts should be mandated.

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## Introduction

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5 The central need in multiple sclerosis (MS) therapeutics is to delay or prevent  
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7 progression. In relapsing-remitting MS (RRMS) over a dozen disease modifying  
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9 treatments (DMT) are now available to reduce relapse rate, but with a partial or  
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11 modest effect on disability progression.<sup>1</sup>  
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18 Statins, HMG-CoA reductase inhibitors, widely used to reduce serum cholesterol  
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20 levels, have shown a variety of anti-inflammatory and neuroprotective properties in  
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22 animal models, which are attractive when the biology of MS is considered. They can  
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24 potentially inhibit antigen presentation and facilitate an anti-inflammatory T-  
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26 lymphocyte response;<sup>2</sup> they can improve cerebral hemodynamics with up-regulation  
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28 of endothelial nitric oxide synthase (eNOS) and inhibition of inducible NOS (iNOS);  
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30 and finally counteract glutamate excitotoxicity.<sup>3,4</sup>  
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39 Eight trials with statins (simvastatin or atorvastatin) have been carried out in  
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41 clinically isolated syndrome (CIS) and RRMS patients without yielding any reduction  
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43 in the annualized relapse rate (ARR).<sup>5</sup> The largest double blind study conducted so  
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45 far, SINCOMBIN (n=307), assessing the efficacy of a statin as add-on therapy to  
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47 interferon-beta1a, failed to demonstrate any beneficial effect in reducing relapse  
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49 activity. The authors also looked at the absolute change in brain parenchymal  
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51 fraction, 12 months after randomization, and again there was no difference between  
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53 the two groups.<sup>6</sup> On the other hand, in a (secondary) progressive environment,  
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55 simvastatin 80mg/day reduced the annualized rate of whole-brain atrophy  
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1 compared with placebo by 43% (from 0.584%/year in placebo group to 0.288%/year  
2 in the active group).<sup>7</sup>  
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7 We hypothesized that, whilst statins have a limited anti-inflammatory activity in  
8 humans, nevertheless they could exert a 'long-range' neuroprotective effect on  
9 disability progression. This time lag might be some years after the end of the index  
10 trial, in particular in RRMS, where functional reserve capacity is generally preserved.<sup>8</sup>  
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18 We therefore carried out a post-hoc analysis of two trials of atorvastatin in RRMS,  
19 where the patients had post-trial long-term follow-up from one centre to examine  
20 whether there was any evidence for any carry-over protective effect on disability.<sup>9,10</sup>  
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## Methods

### *Study design and population*

We included RRMS patients randomized in the ACTIVE and ARIANNA trials at the Federico II MS Centre of Naples, Italy from 2005 to 2008.<sup>9,10</sup> The “Federico II” ethical standards committee on human experimentation approved the study and written informed consent was obtained from all participants. All patients were assessed throughout by one assessor (VBM), according to clinical practice. Patients and assessor were blind to the use of atorvastatin.

Details on the included population, trial design and results are fully reported elsewhere and tabulated in **Supplementary Table 1.**<sup>9,10</sup>

For this current analysis the inclusion criteria were: 1) participation in the ACTIVE or ARIANNA trials in Federico II MS Centre of Naples for the entire study duration; 2) follow-up visits at this centre after trial termination; 3) EDSS $\leq$ 3.0 at inclusion (suggestive of relatively preserved functional reserve capacity).<sup>8</sup>

The patient flow is shown in **Figure 1.** Briefly, from the original ARIANNA trial (n=154), 80 patients (52%) were enrolled at the Federico II MS Centre of Naples. The ACTIVE trial, which was single centre, by definition, enrolled all patients (n=45). Therefore, in total, we had access to 125 patients, of which 16 patients (13%) were lost-to-follow-up after trial termination (as they were referred uniquely for trial participation and, afterwards, returned under the care of their previous physician),



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14 (11%) had early trial termination, and 26 (20%) were excluded due to baseline EDSS>3.0, leaving 69 to be included in this study. Patients were followed-up for an average period of 8.4±2.3 (3.7-11.9) years (**Figure 1**).

#### *Treatment exposure*

In the ACTIVE trial, RRMS patients were randomized to either atorvastatin 20mg/day or placebo in addition to subcutaneous interferon-beta1a 44mcg three times/week. In the ARIANNA trial, RRMS patients were randomized to either atorvastatin 40mg/day or placebo, as an add-on to subcutaneous interferon-beta1b 250mcg every other day. After the two-year trial duration, patients discontinued the atorvastatin or placebo and were continued on the original interferon-beta treatment or subsequently discontinued or switched to another disease modifying treatments (DMTs) as clinically indicated; total number of DMTs after trial termination was calculated and patients were classified into (1) staying on 1<sup>st</sup> line DMTs, or (2) requiring 2<sup>nd</sup> line DMTs, in accordance with European and Italian regulatory agencies.

#### *Clinical outcomes*

During the follow-up period, the patients were evaluated every 3 months, or at the occurrence of a clinical relapse, by an Expanded Disability Status Scale (EDSS) qualified neurologist blinded to the use of atorvastatin. The following major clinical outcomes were recorded: occurrence of clinical relapse, time from randomization to the first relapse (time to first relapse) and annualized relapse rate (ARR); 1-point EDSS progression (confirmed after 12 months, independent of relapse), time to 1-

1 point EDSS progression; proportions reaching EDSS 4.0 (confirmed after 12 months),  
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3 time to EDSS 4.0.  
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### 6 7 *Statistical analyses* 8

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10 Our study population included patients fulfilling the inclusion/exclusion criteria  
11 above. We conducted a missing pattern analysis to compare those with and without  
12 follow-up to assess whether specific variables were associated with the probability  
13 of having missing data at follow-up. Mean, standard deviation, range and  
14 proportions were calculated.  
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25 Preliminary comparisons between treated and untreated patients were performed  
26 with t-test, chi-square test and Mann-Whitney test, as appropriate. Cox regression  
27 models were employed to assess differences in rates: of relapse occurrence (time to  
28 the first relapse); of 1-point EDSS progression; and of reaching of EDSS 4.0; results  
29 were reported as adjusted Hazard Ratios (HR) with 95% confidence interval (95%CI).  
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38 A multivariable Poisson regression model was employed to evaluate the association  
39 between atorvastatin treatment and ARR; adjusted coefficient (Coef) and 95% CI  
40 were subsequently calculated. Covariates included in the multivariable models were  
41 age, sex, disease duration, baseline EDSS, relapses in previous 2 years, protocol  
42 (ACTIVE or ARIANNA), number of DMTs after trial termination, and need for 2<sup>nd</sup> line  
43 DMT. Results were considered statistically significant if  $p < 0.05$ . Stata 15.0 has been  
44 used for data processing and analysis.  
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## Results

No demographic (age, sex) and clinical characteristics (disease duration, baseline EDSS, relapses in previous 2 years) were associated with the likelihood of having data lost at follow-up. Results are presented in **Supplementary Table 2**. Thus, the missing data pattern was completely at random.

In the original trial populations, atorvastatin treated and untreated patients were similar for potential confounder.<sup>9,10</sup> Similarly, in the included population at baseline, atorvastatin treated and untreated patients were similar for age, gender, disease duration (time from symptom onset to baseline), EDSS, number of relapses in previous 2 years, follow-up duration, protocol of original inclusion, and DMTs after trial termination (**Table 1**).

At follow up, the previous use of atorvastatin was associated with reduced rate of 1-point EDSS progression (HR=0.440; p=0.017), and of reaching of EDSS 4.0 (HR=0.310; p=0.013) (**Table 1; Figure 2**). No significant associations were found between atorvastatin treatment and time to first relapse and ARR during the observation period (**Table 1**). The clinical trial covariate (ACTIVE or ARIANNA, also accounting for different atorvastatin dose) did not affect the results.

## Discussion

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5 This 8-year follow-up of two clinical trials of atorvastatin, demonstrated that 2-year  
6 exposure was associated with milder disease progression in RRMS patients after a  
7 mean follow-up of 8.4 years, with delayed risk of a 1-point EDSS progression and of  
8 reaching of EDSS 4.0. No effect was detected on relapse frequency. The analyses  
9 were run on a subset (69/125, 56%) of the original trial population, however the lost-  
10 at-follow-up status was completely random and we do not think affects our overall  
11 study results.  
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25 Previous trials with statins in RRMS were run for 9-24 months and were designed to  
26 show early anti-inflammatory properties of HMG-CoA reductase inhibitors.<sup>2</sup> The  
27 ACTIVE trial had EDSS progression as a secondary outcome and the group difference  
28 in time to 1-point increase of EDSS score, sustained for at least 3 months, reached  
29 borderline significance (p=0.053).<sup>10</sup>  
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41 It has been postulated that clinical trials in RRMS are too short and that 'long-range'  
42 effects might exist, particularly on neuronal pathways with relatively preserved  
43 functional reserve.<sup>8</sup> Supportive evidence for this comes from a recent meta-analysis  
44 looking at all the published observational studies for glatiramer acetate and  
45 interferon-beta (n=14) in which the long term effects were examined.<sup>11</sup> Time to  
46 reach EDSS 4 and 6 and time to progression to SPMS were all significant at a median  
47 follow-up time of 8.5 years. We feel that our analysis of atorvastatin long-term  
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1 effects adds weight to this hypothesis and is in line with previous studies showing  
2 delayed effects of DMTs on outcomes of disability progression.<sup>8,12</sup>  
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7 Of note, we did not detect any association between statins and relapses. This could  
8 be due to use of DMTs, that are mainly designed to prevent relapses and were used  
9 accordingly after trial termination, “masking” any possible effect of statins on  
10 relapses; however, we cannot exclude this result could point towards a primary  
11 neuroprotective role of statins.  
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23 This study has a number of potential limitations, which we have tried to mitigate.  
24 Firstly, a significant number were not included in the analysis, either because they  
25 were lost at follow-up (13%), or not finish the study (11%), or had an EDSS >3.0  
26 (20%), but they were largely similar in their demographic and clinical characteristics.  
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28 We deliberately decided to include patients we felt would have more functional  
29 reserve available to demonstrate a delayed effect from the atorvastatin (i.e., an  
30 enriched population). Secondly, the study is retrospective, though being performed  
31 at a single center should reduce the variability, for example in terms of DMT choice  
32 after trial termination. The inclusion of patients recruited in other centres of the  
33 ARIANNA trial would have increased post-trial clinical follow-up and treatment  
34 heterogeneity, also affecting our time varying statistical models. Thirdly, the exact  
35 atorvastatin and interferon-beta formulations were different between the trials,  
36 though this seems to be less likely to influence the 8-year outcomes. The impact of  
37 specific DMTs used after trial termination was not considered due to sample size  
38 constraints, but treatment groups were similar, also considering this is a single-  
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1 centre study, with one single assessor responsible for medical decisions. However,  
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3 DMTs used after trial termination along with other possible confounders (e.g.,  
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5 neutralizing antibodies) are generally not included in long-term extension  
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7 studies.<sup>12,13</sup>  
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12 In conclusion, our exploratory, hypothesis-generating study would suggest that it  
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14 would be worth extending long-term observation to clinical trials, to look for any  
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16 delayed or latent effect of the intervention on disability, particularly where there is  
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18 relatively preserved functional reserve at lower EDSS levels.  
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#### 41 **Declaration of conflicting interests**

42  
43 RL has received honoraria from Almirall, Bayer, TEV, Biogen Idec, Genzyme, Merck  
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45 Serono, Novartis, and Roche.  
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48  
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57  
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2 and Biogen Idec, and has received an investigator grant from Novartis outside this  
3 work. He has taken part in advisory boards/consultancy for Roche, Merck KGaA  
4 Germany, MedDay, Biogen, and Apitope.  
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10 VBM has received honoraria from Almirall, Bayer, Biogen Idec, Genzyme, Merck  
11 Serono, TEVA, Mylan, Novartis, and Roche.  
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**Figure 1. Patient Flow.**

**Figure 2. Kaplan-Meier curves for the probability of 1-point EDSS progression and of reaching of EDSS 4.0.**

Kaplan-Meier plots estimating the probability of experiencing 1-point EDSS progression (**A**), and of reaching of EDSS 4.0 (**B**) in relation to the exposure to atorvastatin during the 2-year duration trial (red) or placebo (blue). P-values and hazard ratios (HR) are shown from Cox regression models.

Figure 1  
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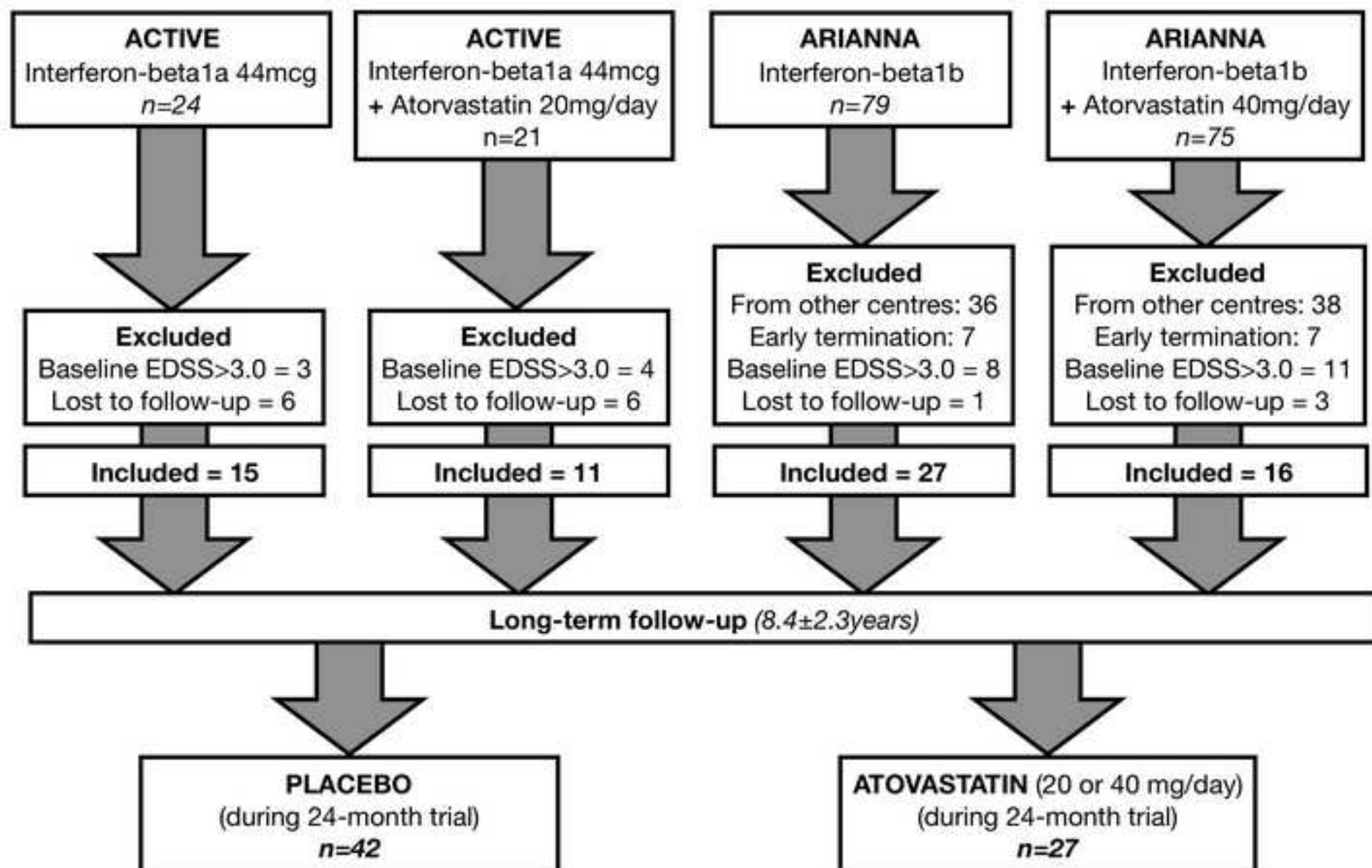
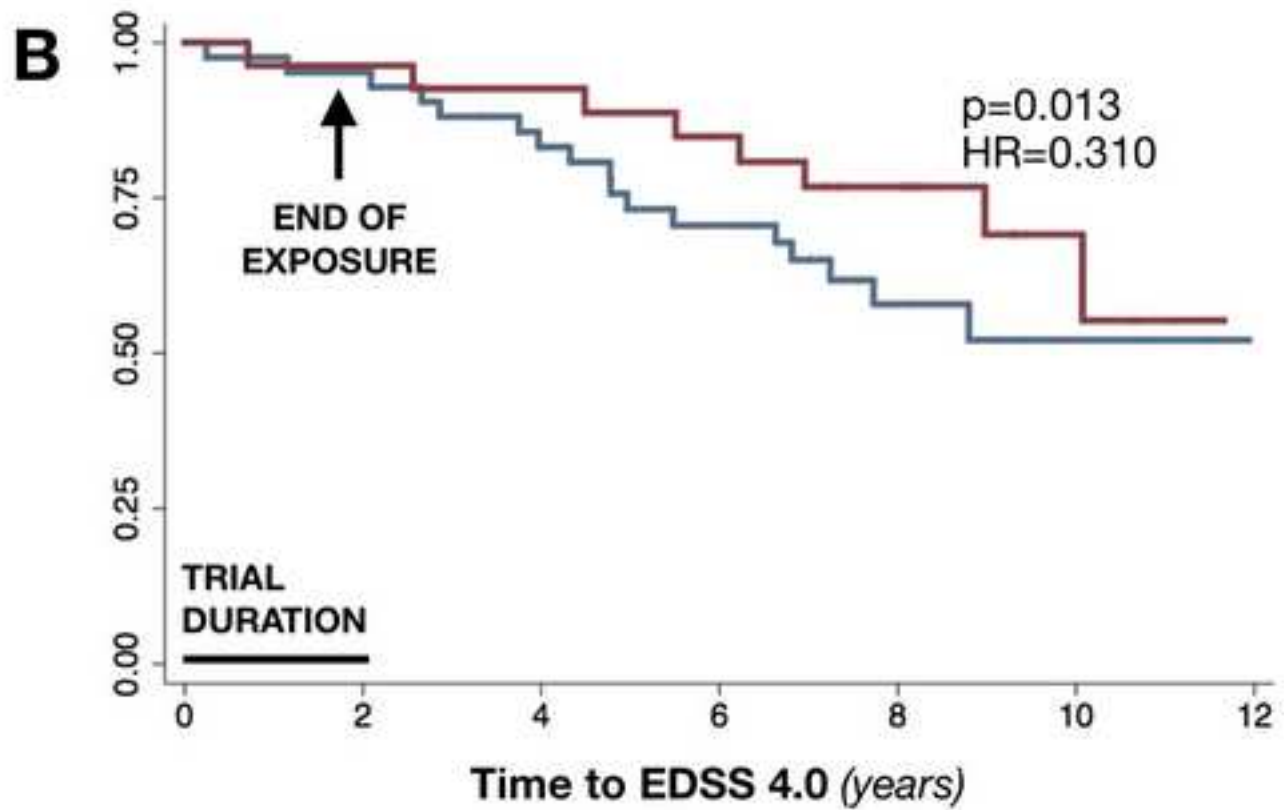
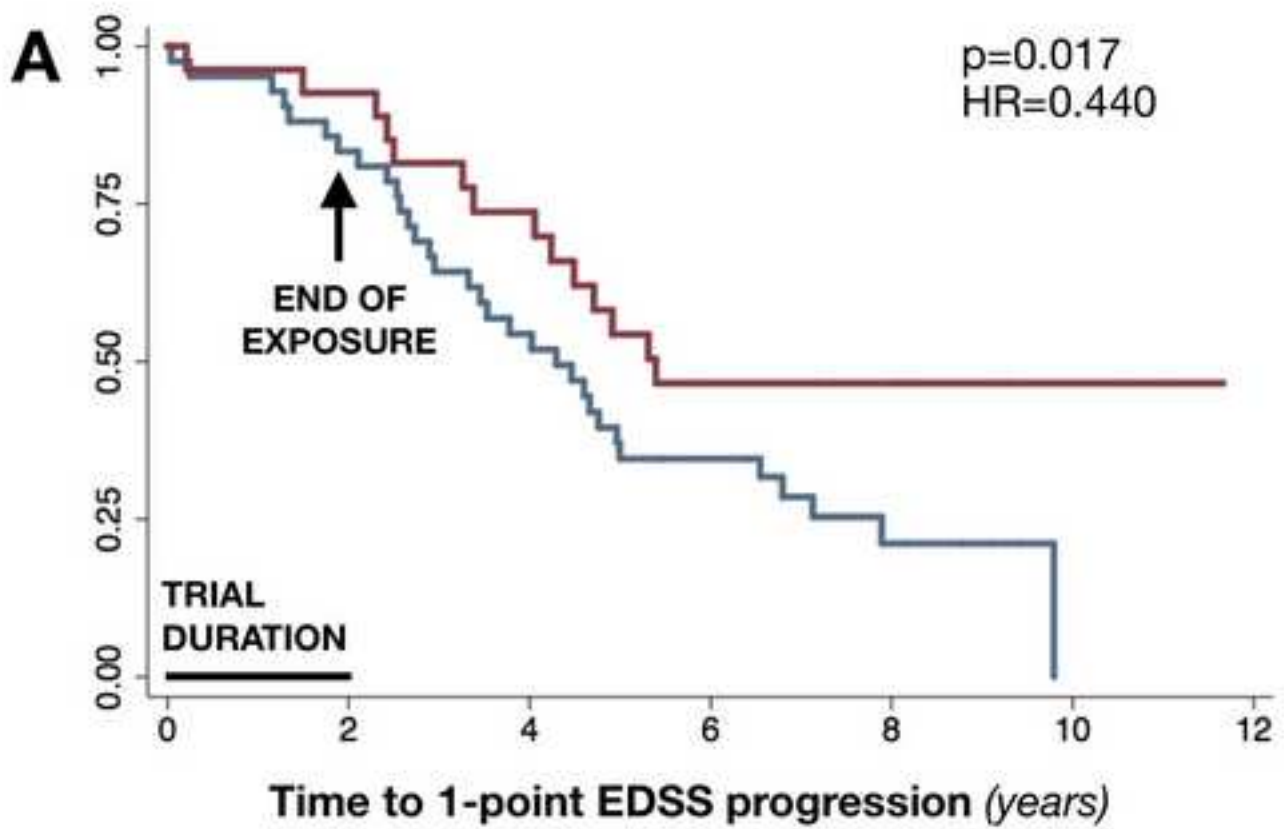


Figure 2  
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Placebo ———  
Atrovastatin 20 or 40 mg/day ———

**Table 1. Demographic and clinical features.**

Table shows demographic and clinical features of included RRMS patients. P-values are reported from t-test and chi-square test; p-value, coefficient (Coeff) and 95% confidence intervals (95%CI) are reported from Poisson regression model; p-values, hazard ratio (HR) and 95%CI are reported from Cox regression models. Covariates were age, gender, disease duration, baseline EDSS, relapses in previous 2 years, protocol, number of DMTs after trial termination, and need for 2<sup>nd</sup> line DMT.

	Placebo (n=42)	Atorvastatin (n=27)	p-values	Coeff/HR	95%CI	
					Lower	Upper
Age, years	33.1±6.1	34.7±8.3	0.387			
Gender, female (%)	23 (54.7%)	21 (77.7)	0.073			
Disease duration, years	7.3±4.3	6.5±4.3	0.457			
Baseline EDSS, median (range)	2.0 (1.5-3.0)	2.0 (1.5-3.0)	0.825			
Relapses (in previous 2 years)	0.7±0.9	0.9±1.1	0.398			
Follow-up duration, years	8.4±2.2	8.2±2.4	0.699			
<u>DMTs after trial termination, number</u>	<u>1.8±0.9</u>	<u>1.8±0.8</u>	<u>0.725</u>			
<u>DMTs after trial termination, 1<sup>st</sup>/2<sup>nd</sup> line</u>	<u>26/16</u>	<u>15/12</u>	<u>0.600</u>			
Protocol, ACTIVE/ARIANNA	15 / 27	11 / 16	0.674			
Relapse occurrence	27 (64.2%)	17 (62.9%)	<u>0.150</u>	<u>0.601</u>	<u>0.301</u>	<u>1.202</u>
Time to first relapse, years	4.1±3.4	5.3±3.7				
ARR (during study period)	0.28±0.3	0.24±1.2	<u>0.633</u>	<u>-0.250</u>	<u>-1.277</u>	<u>0.776</u>
1-point EDSS progression	32 (76.1%)	14 (51.8%)	<u>0.017*</u>	<u>0.440</u>	<u>0.225</u>	<u>0.861</u>
Time to 1-point EDSS progression, years	4.5±2.6	5.8±3.1				
EDSS 4.0	17 (40.4%)	8 (29.6%)	<u>0.013*</u>	<u>0.310</u>	<u>0.123</u>	<u>0.784</u>
Time to EDSS 4.0, years	6.7±2.8	7.6±2.6				